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(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

## TRANSMITTAL LETTER TO THE UNITED STATES

PF980020

DESIGNATED/ELECTED OFFICE (DO/EO/US)

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

CONCERNING A FILING UNDER 35 U.S.C. 371

09/673897

INTERNATIONAL APPLICATION NO.  
PCT/FR99/00943INTERNATIONAL FILING DATE  
21 April 1999 (21.04.99)PRIORITY DATE CLAIMED  
23 April 1998 (23.04.98)

## TITLE OF INVENTION

METHOD FOR MANAGING OBJECTS IN A COMMUNICATION NETWORK AND  
IMPLEMENTING DEVICE

## APPLICANT(S) FOR DO/EO/US

Guillaume Bichot, Helmut Buerklin, Fabienne Coez

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210). attached to Item 13
8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

## Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. with references attached
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☒ Certificate of Mailing by Express Mail
20. Return postcard receipt

20 x x x x Other items or information x

**CERTIFICATE OF MAILING UNDER 37 CFR 1.10**

EL694384983US

October 23, 2000

"Express Mail" mailing no.

Date of Deposit

I hereby certify that this application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Davida Fornarotto

Typed or printed name of person  
mailing application

*Davida Fornarotto*  
Signature of person mailing  
application

09/673897

21. The following fees are submitted:

## BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5) ) :

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO .....\$1000.00
- ☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO .....\$860.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....\$710.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....\$690.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

422 Rec'd PCT/PTO 23 OCT 2000

860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	10 - 20 =	0	x \$18.00
Independent claims	2 - 3 =	0	x \$80.00

Multiple Dependent Claims (check if applicable). ☐

TOTAL OF ABOVE CALCULATIONS = 860.00

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). ☐

SUBTOTAL = 860.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

TOTAL NATIONAL FEE = 860.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☐

TOTAL FEES ENCLOSED = 860.00

Amount to be:

refunded

\$

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\$ 860.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the above fees is enclosed.
- ☒ Please charge my Deposit Account No. 07-0832 in the amount of \$860.00 to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 07-0832 A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Mr. Joseph S. Tripoli  
THOMSON multimedia Licensing Inc.  
Patent Department  
PO Box 5312  
Princeton, New Jersey 08540

PCT INITIAL PROCESSING

OCT 24 2000

  
SIGNATURE

Paul P. Kiel

NAME

40,677

REGISTRATION NUMBER

October 23, 2000

DATE

RECEIVED

09/673897

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

422 Rec'd PCT/PTO 23 OCT 2000

Applicant : Guillaume Bichot, Helmut Buerklin, Fabienne Coez

Filed : Herewith

For : METHOD FOR MANAGING OBJECTS IN A  
COMMUNICATION NETWORK AND IMPLEMENTING  
DEVICE

PRELIMINARY AMENDMENT

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

In the US national phase application of PCT/FR99/00943 filed  
herewith, please enter the following amendments

**IN THE TITLE:**

Please amend the title to read "METHOD FOR MANAGING  
OBJECTS IN A COMMUNICATION NETWORK AND IMPLEMENTING  
DEVICE".

**IN THE DESCRIPTION:**

Page 1, lines 1 and 2, delete "PROCESS FOR MANAGING  
OBJECTS IN A COMMUNICATION NETWORK AND DEVICE FOR THE  
IMPLEMENTATION THEREOF" and insert – METHOD FOR MANAGING  
OBJECTS IN A COMMUNICATION NETWORK AND IMPLEMENTING  
DEVICE --.

**IN THE CLAIMS:**

Please amend the claims as follows:

1. (AMENDED) [Process] Method for the distributed management of a  
catalogue of objects in a communication network comprising devices, the process  
comprising the [step] steps of:

registering local objects present in a device in a local registry managed at the level of this device[, the process being characterized in that it furthermore comprises the steps:] ;

- [of] formulating, by a local object, a request for a list of objects, the request being transmitted to the single local registry of the device hosting the local object;

- [of] propagating the request through the local registry to distant registries;

- [of] collecting the responses to the request by the distant registries and the response of the local registry; and

- [of] transmitting the responses collected to the local object having formulated the initial request.

2. (AMENDED) [Process] Method according to Claim 1, [characterized in that] wherein a local registry comprises for each object registered therein an identifier of this object in the network, this identifier being unique for the network, as well as attributes of the object.

3. (AMENDED) [Process] Method according to Claim 2, [characterized in that] wherein the identifier [(SEID)] comprises an identifier of the device in which the object is present, this device identifier being unique in the network, as well as of a local identifier unique to this object at the level of the device.

4. (AMENDED) [Process] Method according to Claim 2 [or 3, characterized in that] wherein a type of request formulated by an object is a request comprising at least one selection criterion pertaining to an object attribute.

5. (AMENDED) [Process] Method according to Claim 4, [characterized in that] wherein the function of an object is an attribute stored by a local registry in respect of the object.

6. (AMENDED) [Process] Method according to [one of the preceding claims, characterized in that] Claim 1, wherein the step of propagating a request comprises the step of determining the devices connected to the network which themselves comprise a registry.

7. (AMENDED) [Process] Method according to Claim 6, [characterized in that] wherein the step of determining the devices comprises the determination of

the types [(FAV, IAV, BAV, LAV)] of the devices present in the network, the type of a device indicating whether it hosts a registry or whether it does not host one.

8. (AMENDED) [Process] Method according to [one of the preceding claims, characterized in that] Claim 1, wherein a type of request formulated by an object is a request comprising selection criteria for at least two lists of objects, as well as at least one operator for processing the lists.

9. (AMENDED) Device in a communication network comprising:  
 means [(3, 4)] for storing local objects [(21 to 28),];  
 means [(3)] for storing a local registry [(26)] for containing a catalogue of objects local to the device[,];  
 means [(5, 31)] for connecting the device to the network[, the device being [characterized in that furthermore comprises];  
 - means [(26, 29, 31)] for propagating a request for a list of objects formulated by a local object to the local registries of other devices; and  
 - means [(26)] for collecting the responses of the registries of the other devices and the response of the local registry and for transmitting the responses to the object having formulated the request.

10. (AMENDED) Device according to Claim 9, [characterized in that it furthermore comprises] further comprising means for determining the addresses of devices of the network comprising so-called distant registries.

## IN THE ABSTRACT

Please add the following Abstract.

--A process for distributed management of a catalogue of objects in a communication network comprising devices, the process comprising the step of registering local objects present in a device in a local registry managed at the level of this device. The process in accordance with the invention comprises the steps of: formulating, by a local object, a request for a list of objects, the request being transmitted to the single local registry of the device hosting the local object; of propagating the request through the local registry to distant registries; collecting the responses to the request by the distant registries and the response of the local registry; and transmitting the responses collected to the local object having formulated the initial request. The subject of the invention is also devices able to be connected to a network in which the above process is implemented. The invention applies in particular within the context of home communication networks.--

## REMARKS

The title has been amended to conform with the translated title of the published application (WO 99/56435).

The above claim amendments have been made to eliminate the multiple dependencies and reference indicia, and to conform the claims to U.S. practice.

To meet the requirements of the United States, the Abstract (as originally filed during the prosecution of the PCT application) is added.

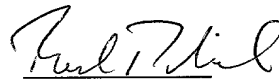
No fee is believed to have been incurred by virtue of this amendment. However if a fee is incurred on the basis of this amendment, please charge such fee against deposit account 07-0832

Respectfully submitted,

Guillaume Bichot

Helmut Buerklin

Fabienne Coez



Paul P. Kiel

Registration No. 40,677

609/734-9650

THOMSON multimedia Licensing Inc.

Patent Operation

PO Box 5312

Princeton, NJ 08543-5312

October 23, 2000

**Process for managing objects in a communication network and  
device for the implementation thereof**

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5 The invention relates to a process for managing objects, in particular software modules, in a communication network which may be of the home-automation type. It also relates to devices able to be linked to such a network and comprising means for implementing the process.

10 The invention applies in particular in a home network adapted to the interconnecting of audio and video devices.

15 In a network of mass-market electronic devices such as televisions, cable or satellite decoders or video recorders, it is necessary to provide means of communication between the devices, whilst taking account of the complexity and price constraints inherent in mass-produced devices.

20 Depending upon the type of network envisaged, it may be necessary for a device (also referred to as a node in what follows) of the network to ascertain the access path or the address of another device. This is also the case if the concept of device is replaced with the concept of object, or of software module, it being possible for a device to contain a large number of objects. This may relate to downloaded or resident applications, particular user interfaces or lower level modules. Each object or module of a device may seek to communicate with another object or module of the same device or of another device of the network. Each object is regarded as a resource available to other  
25 objects.

In this case, the problem arises of obtaining one or the dynamic list of resources available in the network.

30 In this context, the subject of the invention is a process for the distributed management of a catalogue of objects in a communication network comprising devices, the process comprising the step of registering local objects present in a device in a local registry managed at the level of this device, the process being characterized in that it furthermore comprises the steps:

35 - of formulating, by a local object, a request for a list of objects, the request being transmitted to the single local registry of the device hosting the local object;

- of propagating the request through the local registry to distant registries;

- of collecting the responses to the request by the distant registries and the response of the local registry;

5                   - of transmitting the responses collected to the local object having formulated the initial request.

Each node (or device) stores only the information local to this node or device: the information is not duplicated in other nodes, an object registering  
10 itself only at the local registry. Thus, the memory requirements in each device are restricted.

The search for objects (software modules) is distributed amongst several devices, each database being interrogated at local level. Thus, the requirements for resources for processing the information at local level are  
15 themselves also restricted. Moreover, only the relevant information, that is to say that which meets the search criteria, travels over the network: the passband is therefore used wisely.

The consistency of the data is maintained in a simple manner: it is not necessary to update, by virtue of complex processing, distant databases  
20 when a change is made in a local database.

If a node disappears, only the information related to this node is lost.

Also, the requests are propagated in the network only to the other registries. There is no transmission to ALL the elements in the network, only to a well-identified subset, namely the registries. This also limits the passband  
25 required.

According to a particular embodiment, a local registry comprises for each object registered therein an identifier of this object in the network, this identifier being unique for the network, as well as attributes of the object.

30

According to a particular embodiment, the identifier (SEID) comprises an identifier of the device in which the object is present, this device identifier being unique in the network, as well as of a local identifier unique to this object at the level of the device.

35



According to a particular embodiment, a type of request formulated by an object is a request comprising at least one selection criterion pertaining to an object attribute.

- 5                   According to a particular embodiment, the function of an object is an attribute stored by a local registry in respect of the object.

10                   According to a particular embodiment, the step of propagating a request comprises the step of determining the devices connected to the network which themselves comprise a registry.

15                   An object may thus initiate a request to obtain a list of other objects without concerning itself with knowing whether these objects are or are not located in the same node.

20                   According to a particular embodiment, the step of determining the devices comprises the determination of the types (FAV, IAV, BAV, LAV) of the devices present in the network, the type of a device indicating whether it hosts a registry or whether it does not host one.

25                   In a HAVi type network, the FAV and IAV type devices are obliged to comprise a registry, whilst the BAV and LAV type devices do not possess one.

30                   According to a variant embodiment, a request is propagated only to a specified group of distant registries.

35                   When it is known for example in advance that software modules comprising certain attributes are present only in a given type of device, then it is possible to limit the propagation of requests to this type of device so as to limit the number of messages flowing around the network.

40                   According to a variant embodiment, one of the types of request which can be formulated by an object is a request comprising selection criteria for at least two lists of objects, as well as at least one operator for processing the lists.

The subject of the invention is also a device in a communication network comprising means for storing local objects, means for storing a local registry for containing a catalogue of objects local to the device, means for connecting the device to the network, the device being characterized in that  
 5 furthermore comprises:

- means for propagating a request for a list of objects formulated by a local object to the local registries of other devices;

- means for collecting the responses of the registries of the other devices and the response of the local registry and for transmitting the  
 10 responses to the object having formulated the request.

According to a particular embodiment, the device furthermore comprises means for determining the addresses of devices of the network comprising so-called distant registries.

15 Other characteristics and advantages of the invention will become apparent through the description of a particular non-limiting embodiment illustrated by the appended figures, among which:

- Figure 1a schematically represents a home network comprising four devices of different types

- Figure 1b is a block diagram of one of the devices of Figure 1;

- Figure 2 is a schematic illustrating the software organization of the device of Figure 1b;

- Figure 3 represents the states of a registry module of a device of the network;

- Figure 4 represents the sequencing of the messages upon a  
 25 request requiring propagation to a distant registry module.

According to the present exemplary embodiment, the home network  
 30 comprises four types of devices: audio-video devices possessing full functionalities (FAV), audio-video devices with an intermediate function (IAV), base audio-video devices (BAV) and legacy audio-video devices (LAV). The communication bus is of the IEEE1394 type, but may be of some other type. The network admits a common control language, referred to as the HAVI  
 35 language.

The FAV type devices possess the most complete functionalities of all the devices of the network: a communication manager, a registry module, a device control module manager and device control modules (referred to as "DCMs" in what follows), it being possible for the latter to be downloaded.

5 According to a variant, the device also comprises a user interface manager. The FAV devices can take control of less sophisticated devices, such as BAV and LAV type devices by way of device control modules. An FAV device can access other FAV or IAV type devices in order to access resources which it lacks (for example a user interface manager).

10

The IAV type devices possess the same functionalities as an FAV except for the possibility of downloading the device control modules.

15 The BAV type devices possess a private control language specific to them, and which is not necessarily the one used by the remainder of the devices. This type of device is controlled by an FAV device by way of a control module (DCM) downloaded from the BAV type device itself and adapted for the control thereof, for example the BAV type device can be a printer, whose print manager is downloaded.

20

The LAV type devices are devices connected to the bus or to IAV or FAV type devices by way of specific connections. The LAV type devices are controlled by specific control modules (DCM) which do not originate from the device itself and possess their own private language.

25

Figure 1a represents an exemplary network in the form of four devices FAV, IAV, BAV and LAV. The FAV, IAV and BAV devices are connected to the same bus whilst the LAV device is connected directly to the FAV device and controlled by a control module present in the latter. The BAV device is controlled, for example, by way of the IAV device.

30

Figure 1b schematically represents the FAV device 1. It comprises a microprocessor 2 linked to a random access memory 3 and an at least partially reprogrammable read-only memory 4, as well as an IEEE 1394 bus interface (reference 5) consisting of a link circuit and of a physical circuit ('PHY' according to the IEEE 1394 terminology). The device 1 also comprises a specific interface 6 for plugging in the LAV video recorder.

35

In particular four types of software modules may be present in the memory of the devices of the present network. These are DCM device control modules, applications, service modules and a message transmission manager.

5

The DCM device control modules allow the control of a device or of a subset of this device. The control module can be located in the device to be controlled itself (if it is an IAV or FAV type device) or in a device other than the device to be controlled (if the device to be controlled is of LAV or BAV type, the control manager will be located in an IAV or FAV type device, the latter serving as execution platform). A control manager is either present right from the outset, or can be downloaded. In the latter case, the downloading is carried out for example at the moment of initialization of the device, or when requested by an application.

10

15

The function control modules (referred to as FCMs) are software modules allowing control of a function of a device and are included in the DCM device control modules. An device can in this regard possess several functions: recording, tuner, camera, display, mass memory, etc.

20

The service modules offer system services or functions. They can be accessed either by local software modules, or through the system for transmitting messages to modules of other devices. These system services or functions comprise in particular the graphical management of user interfaces, the management (for example the downloading) of the DCM modules, the procedures for connecting a device to the network, the initializing of the network, (listing of the network resources), as well as the registry module, which will be seen in greater detail hereinbelow.

25

30

Each software module (DCM or application or system services modules) must register itself at the local registry module (that is to say the registry module in which it resides or into which it has been loaded) if it wishes to grant access through the message layer to other software modules of the network. The FCM function modules related to a DCM control module also register themselves at the local registry module.

35

The message transmission managers are responsible for communicating messages from one software module to another, regardless of

the devices in which these modules are located. When a software module wants to send a message to another module, it does not know the physical device which hosts the destination module.

5                   Figure 2 illustrates an example of a software organisation of an FAV type device.

                  This device comprises a downloaded application 21 (for example a game), two DCM control modules A and B 22 and 23, a private application 24 (for example an electronic program guide), an IEEE 1883/1394 type  
10                   communication bus manager 25, a registry module 26, a high-level user interface 27, a DCM manager 28 and a message transmission system 29. The modules communicate with one another by way of the message transmission system accessible through an application programming interface 30 (referred to as the 'API' in what follows). The device also comprises an interface 31 with the  
15                   1394 bus.

                  Upon its installation into the network, the FAV device will seek to load the DCM control modules of BAV type devices with the aim of making them available to the applications. With this in mind, the BAV devices place the  
20                   code of the corresponding DCM module into a known area of their memory and in a self-descriptive data structure referred to as the SDD (SDD standing for "Self Describing Device") The FAV type device can thus read this memory space and load the DCM module of the BAV type of device. An example is that where the BAV device is a printer. The DCM control modules thus loaded are  
25                   registered in the module of the registry of the FAV device and thus accessible by the remainder of the network.

                  An SDD type data structure is obligatory in FAV, IAV or BAV type devices, and is situated at a fixed address in each device. On initialization thereof, it thus becomes possible for an FAV type device to explore the network  
30                   so as to load the DCM modules of all the BAV type devices. It is the manager of DCM modules of the FAV device which executes this task.

                  An SDD data structure also comprises the device type (FAV, IAV, BAV, LAV).

35

The message transmission system of an device comprises:

- the module 26 of the registry (declaration and search for software modules),

- a message layer, comprising the message dispatcher 29 (sending and receiving of messages), the application programming interface (API) 30 for allowing access to the transmission system and an IEEE 1394 bus adaptation sub-layer.

The IEEE 1394 bus adaptation sub-layer has in particular the role of adapting the transmission of data to the IEEE 1394 protocol, by encapsulating the messages to be transmitted into packets adapted to the IEEE 1394 standard.

A message comprises three components: the address of the destination software module, the address of the source software module and useful data.

An address of a software module is composed of an identifier of the node on which it is executed, this identifier being unique to the network, followed by a software module identifier unique to the node in which it is executed. The identifiers of the software modules are allocated by the message transmission system local to a node. The addresses are used by the message dispatcher for forwarding messages to the appropriate software module. According to the present exemplary embodiment, a software module address or identifier (referenced "SEID" in what follows) is an 80-bit binary word. It comprises:

- a 64-bit device identifier stored in the ROM of the device in which the software module is executed. In the case of a downloaded module, it is the identifier of the host which is used. The device identifier is allocated at the time of manufacture and corresponds to the EUI64 field defined in the IEEE 1394 - 1995 standard. A part of this device identifier is administered by the IEEE organization and is specific to each manufacturer, the other is chosen by the manufacturer of the device himself, in such a way that each device manufactured is provided with a different identifier;
- a local identifier consisting of a serial number allocated directly by the message transmission system of a node, this number being coded on 16 bits and concatenated with the device identifier to form the SEID identifier. The message transmission system maintains a counter in this regard. A few serial numbers are reserved (for example from

0x0000 to 0x0005) and used to define particular service modules. For example, the serial number 0x0001 corresponds routinely to the module of the registry of an device.

Thus, each software module of the network comprises a distinct and  
 5 unique SEID identifier. It is however possible to define unique identifiers by means other than those indicated hereinabove.

The module of the registry maintains a database comprising a  
 directory of the software modules available locally at the device level. It makes  
 10 available a programming interface which provides access to the functions of software module registration and module searching according to a list of criteria.

There is a module of the registry in each FAV or IAV type device.  
 Inside such an device, all the software modules are registered by the module of  
 15 the local registry. If a software module wishes to be able to be concatenated, it must register itself at the module of the registry. For each software module registered therein, the module of the registry maintains the address thereof in the network and the attributes of this module.

According to a variant embodiment, the module of the registry  
 20 comprises not the address of the software module, but its serial number.

The attributes of a software module enable it to be characterized. For each software module, these attributes are stored in a table, comprising for each attribute the reference of the latter on 32 bits, its size in bytes, as well as its value.

25 Table 1 gives a list of predetermined attributes:

<u>Attribute reference</u>	<u>Format of the type</u>	<u>Size</u>	<u>Presence</u>
Type of software module	integer	32 bits	M
HUID identifier	string of bytes	80 bits	M*
Type of device	integer	32 bits	M*
Graphical interface	integer	32 bits	Y
Support format	bit field	32 bits	Y
Data format	bit field	32 bits	Y
Device manufacturer	character string	15 bytes	M*
Software module manufacturer	character string	15 bytes	Y
Software module version	character string	15 bytes	Y
Audio/video control language	bit field	32 bits	Y

Table 1

5 The software module type represents the prime function of the module. If the software module is a system service module, then the type of the attribute designates the system service itself. The module of the registry is such a service module. If the software module is an FCM, function control manager, the type defines the function: recorder, display, tuner, etc. If the software module is a DCM device control manager, the type is "DCM". If the software module possesses an application programming interface ("API") which is incompatible with the remainder of the network, then the type is "Private".

10 The "HUID" identifier is an identifier of the device with which a DCM manager is associated or of a function with which an FCM manager is associated.

15 The device type associated with the software module is FAV, IAV, BAV or LAV, as already explained.

A DCM manager can be associated with a graphical user interface. The attribute "graphical interface" indicates that this is the case, and what as appropriate is the degree of compatibility of the interface of the DCM manager with the various interface levels provided in the network.

20



The attribute "support format" indicates the type of data storage support which is supported by a device. These are for example DVD, DAT, DVHS, DVC supports.

5 The attribute "data format" indicates the format of data which can be manipulated by a device. These are for example MPEG2, JPEG, MIDI, ASCII formats.

10 The attributes "device manufacturer" and "software module manufacturer" indicate a reference respectively of the manufacturer of the device or of the software module whilst the attribute "software module version" indicates the version number of a module.

15 Lastly, the attribute "audio/video command language" indicates the type of languages specific to the software module, in addition to the common HAVI command language already mentioned. The value of the attribute is a 32-bit field, the value of each bit indicating compatibility with a specific command language, for example CAL or AV/C.

The database of a registry module can, according to a variant embodiment, also comprise specific or "private" attributes.

20 It should be noted that the modules of the registries of various devices are distinct. There is no centralized registry, in which all the software modules would be catalogued. The software modules are registered only at the level of a single registry: their local registry. There is therefore no double registration at several registries.

25 According to the present exemplary embodiment, the application programming interface of a module of the registry comprises five commands, which will be detailed hereinbelow:

30

#### (a) Software module registration

35 This command is used to add a software module to the database of the local registry or to modify the attributes of a software module already registered. It is used in particular by a software module to register itself when the device comprising this element is plugged in.

The software module transmits its SEID identifier and the attributes to the module of the registry. If this identifier is already present, the new attributes replace the old ones. Otherwise, a new entry is created in the local database (local registry). The module of the registry transmits a status message to the software module, depending on the result of the recording: confirmation of recording or error message.

#### (b) Software module extraction

10 This command is used to read the attributes of a software module, knowing its SEID identifier. A pointer to an area of the random access memory of the device, to which area the data must be copied, is transmitted with the extraction request. If the software module is not present in the local database, then the pointer is set to zero and returned by the module of the registry.

15 The module of the registry also returns a status message, which confirms the copying of the attributes, or indicates that the sought-after identifier is not present.

#### (c) Erase a software module from the registry

20 This command is used to eliminate a software module from the local database. Its SEID identifier is supplied as command parameter. The module of the registry returns a status message confirming the deletion or indicating that the corresponding software module has not been found.

#### 25 (d) Request the list of software modules ('Simple request')

This command makes it possible to determine the identifiers of software modules registered in the set of local registers and meeting certain criteria. According to the present exemplary embodiment, these criteria are the reference of an attribute and the value of an attribute. A parameter of the command is also an operator indicating the way in which the comparison between the value of the attribute specified in the command and the values of the base is to be made (equal, larger, larger or equal, smaller, smaller or equal, different, bitwise logical "AND", bitwise logical "OR", etc.).

35 The module of the registry returns as appropriate the list of SEID identifiers of the corresponding software modules. It also returns a status

message indicating the success of the operation (whether or not identifiers have been found), or its failure.

- 5 (e) Perform a boolean operation between two lists of software modules ("multiple request")

10 This command is used to perform a boolean operation on two lists of identifiers. The command comprises as parameters the requests corresponding to each list. A request can consist of the criteria already mentioned in paragraph (d) (simple request), or of another multiple request.

A parameter of this command is also the relevant boolean operator ("AND" or "OR" within the context of the present exemplary embodiment).

15 The module of the registry returns as appropriate the list of SEID identifiers as well as a status message informing of the success of the operation or its failure, for any reason whatsoever, such as for example a shortage of resources.

20 To access other software modules, a software module must know the SEID identifier of its corresponding modules. As regards software modules registered in the same module of the registry, this is not a problem, the requests set out above enabling each software module to extract lists of identifiers from the local database.

25 A software module accesses the module of the local registry through the local message transmission system. It can also access a distant registry module, and thus send back identifiers of modules registered at other registry modules. To do this, each registry module propagates a request which has been transmitted to it locally to the modules of the registries of all the other devices. According to the present exemplary embodiment, a distant registry  
30 module from which no response is received in a given time interval is ignored.

Each module of the registry receiving the request of the module of the initial registry performs its own search in its local database and returns as appropriate separately the lists of identifiers corresponding to the criteria of the request to the module of the initial registry. The latter then transmits the  
35 concatenated list of all the identifiers received to the software module which initiated the request. The software module which initiated the request can then

communicate with the software modules of other devices and use the resources corresponding to them.

Figure 3 is a state diagram of a module of the registry of a device.

- 5 This diagram comprises two states, A and B. State A is a state awaiting a request of a software module. State B is the state awaiting a response to a request made of the modules of distant registries by the module of the local registry.

- 10 Table 2 gives the events triggering actions undertaken by the module of the local registry and the corresponding starting and finishing states. The references of the events are the same as in Figure 4.

<u>Event</u>	<u>Meaning</u>	<u>Action</u>	<u>Starting state</u>	<u>Finishing state</u>
41	Registration or extraction or erasure of a software module of the base	Determine and transmit response	A	A
42	Request for a 'simple' or 'multiple' list received from a distant registry module.	Determine and transmit response	A	A
43	Request for a 'simple' or 'multiple' list received from a local software module.	Determine response for local base and transmit request to the modules of distant registries	A	B
44	All the responses have been received	Determine and transmit final response to the local software module	B	A

The requests are propagated by the registry module. It should be recalled that the identifier of a registry module is composed of a manufacturer identifier (fixed by IEEE), a device identifier (fixed by the manufacturer) and of a registry module identifier, the latter being identical for all the registry modules.

5 To be able to propagate a request, the registry module of a device enrolls all the devices of the network, whose identifiers it obtains. It then determines those among these devices which also comprise a registry. In the present exemplary embodiment, these are only FAV or IAV type devices. Knowing the identifiers of the devices which can be accessed by the network,  
10 the module of the registry reads the type of each device in the SDD data structure mentioned above. It thus eliminates the BAV type devices. The concatenation of each device identifier with the local identifier (serial number) fixes common to all the registry modules to obtain the list of complete SEID addresses of all the registry modules. A registry module obtains the list of  
15 device identifiers by way of the local bus management module (the so-called "CMM"), which monitors the connecting and disconnecting of network devices. This module reads the list of all the nodes connected to the network from a registry referred to as "TOPOLOGY\_MAP" defined by the IEEE 1394-1995 document, paragraph 8.3.2.4.1 This registry is situated in a bus manager  
20 device (referred to as the "Bus Manager" in the abovesited IEEE document) which updates the registry relating to the topology of the network. The address of this device is known by the other devices by means also described in the IEEE document.

Figure 4 is a diagram indicating the sequencing of the messages  
25 when a request issued by a software module A of a first device is to be propagated to the registry module of a second device, a software module B being registered in the registry of this second device.

According to the embodiment presented hereinabove, a request  
30 issued by a software module with a view to determining the set of non-local software modules is propagated to all the distant registry modules. According to a variant embodiment, this type of request can also be limited to a group of distant registry modules, for example those of a particular device type.

### Claims

5 1. Process for the distributed management of a catalogue of objects in a communication network comprising devices, the process comprising the step of registering local objects present in a device in a local registry managed at the level of this device, the process being characterized in that it furthermore comprises the steps:

- 10 - of formulating, by a local object, a request for a list of objects, the request being transmitted to the single local registry of the device hosting the local object;
- of propagating the request through the local registry to distant registries;
- 15 - of collecting the responses to the request by the distant registries and the response of the local registry;
- of transmitting the responses collected to the local object having formulated the initial request.

20 2. Process according to Claim 1, characterized in that a local registry comprises for each object registered therein an identifier of this object in the network, this identifier being unique for the network, as well as attributes of the object.

25 3. Process according to Claim 2, characterized in that the identifier (SEID) comprises an identifier of the device in which the object is present, this device identifier being unique in the network, as well as of a local identifier unique to this object at the level of the device.

30 4. Process according to Claim 2 or 3, characterized in that a type of request formulated by an object is a request comprising at least one selection criterion pertaining to an object attribute.

5. Process according to Claim 4, characterized in that the function of an object is an attribute stored by a local registry in respect of the object.

6. Process according to one of the preceding claims, characterized in that the step of propagating a request comprises the step of determining the devices connected to the network which themselves comprise a registry.

5           7. Process according to Claim 6, characterized in that the step of determining the devices comprises the determination of the types (FAV, IAV, BAV, LAV) of the devices present in the network, the type of a device indicating whether it hosts a registry or whether it does not host one.

10           8. Process according to one of the preceding claims, characterized in that a type of request formulated by an object is a request comprising selection criteria for at least two lists of objects, as well as at least one operator for processing the lists.

15           9. Device in a communication network comprising means (3, 4) for storing local objects (21 to 28), means (3) for storing a local registry (26) for containing a catalogue of objects local to the device, means (5, 31) for connecting the device to the network, the device being characterized in that furthermore comprises:

20           - means (26, 29, 31) for propagating a request for a list of objects formulated by a local object to the local registries of other devices;  
               - means (26) for collecting the responses of the registries of the other devices and the response of the local registry and for transmitting the responses to the object having formulated the request.

25           10. Device according to Claim 9, characterized in that it furthermore comprises means for determining the addresses of devices of the network comprising so-called distant registries.

### Abstract

5 The subject of the invention is a process for the distributed management of a catalogue of objects in a communication network comprising devices, the process comprising the step of registering local objects present in a device in a local registry managed at the level of this device.

The process in accordance with the invention comprises the steps:

- of formulating, by a local object, a request for a list of objects, the request being transmitted to the single local registry of the device hosting the local object;
- of propagating the request through the local registry to distant registries;
- of collecting the responses to the request by the distant registries and the response of the local registry;
- of transmitting the responses collected to the local object having formulated the initial request.

20 The subject of the invention is also devices able to be connected to a network in which the above process is implemented.

The invention applies in particular within the context of home communication networks.

25 Fig. 4.



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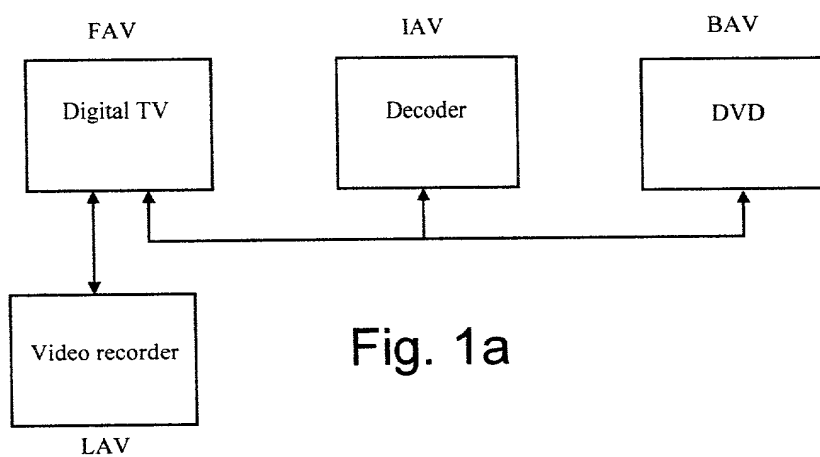


Fig. 1a

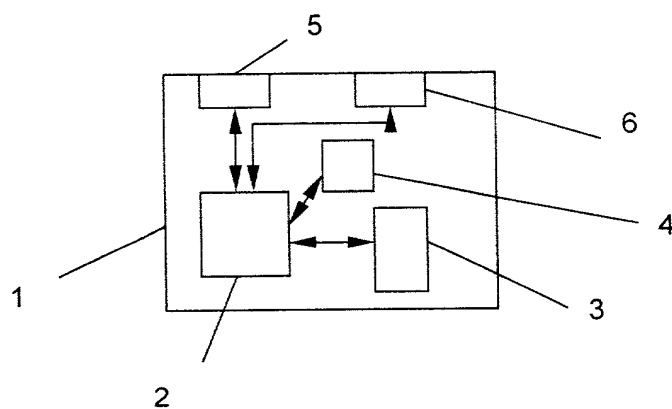


Fig. 1b

2 / 3

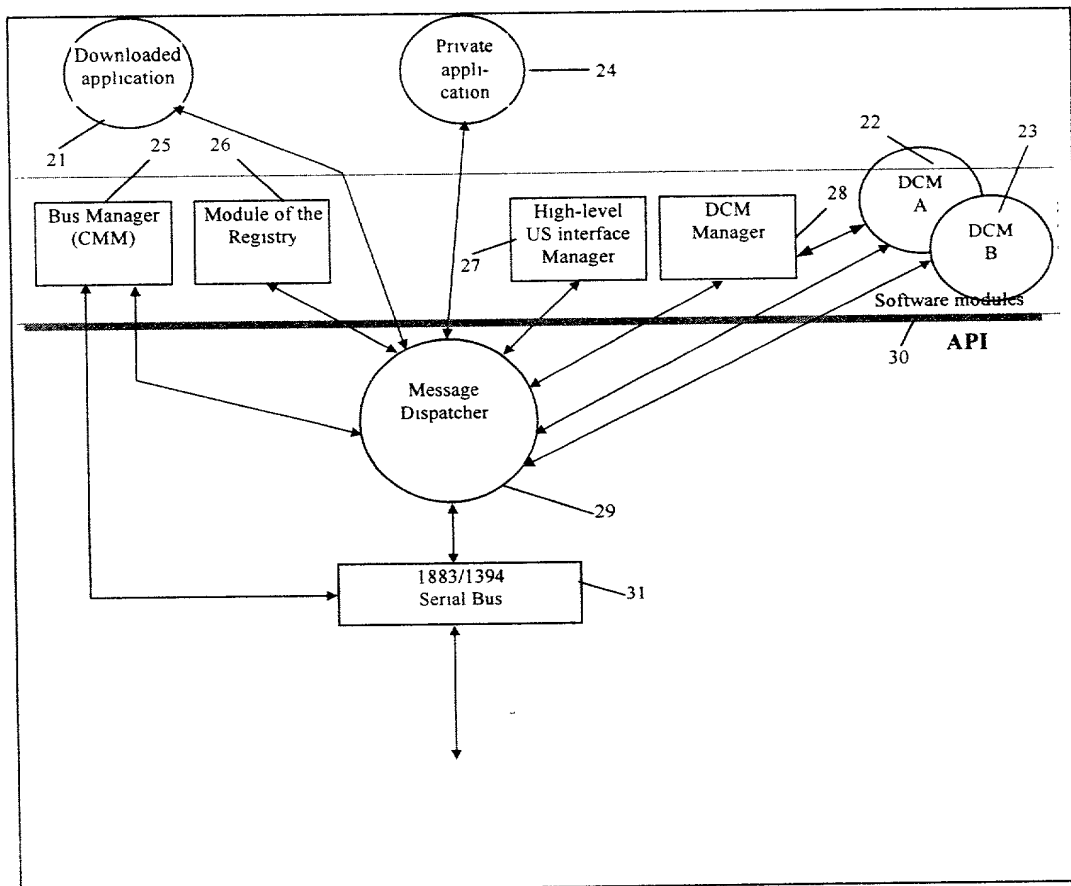


Fig. 2

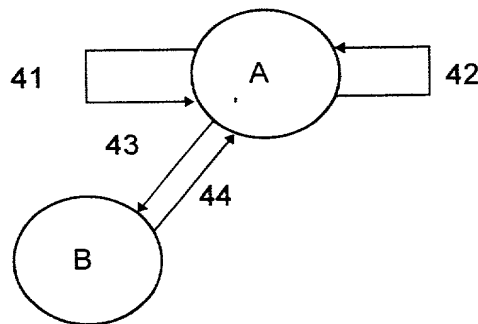


Fig. 3

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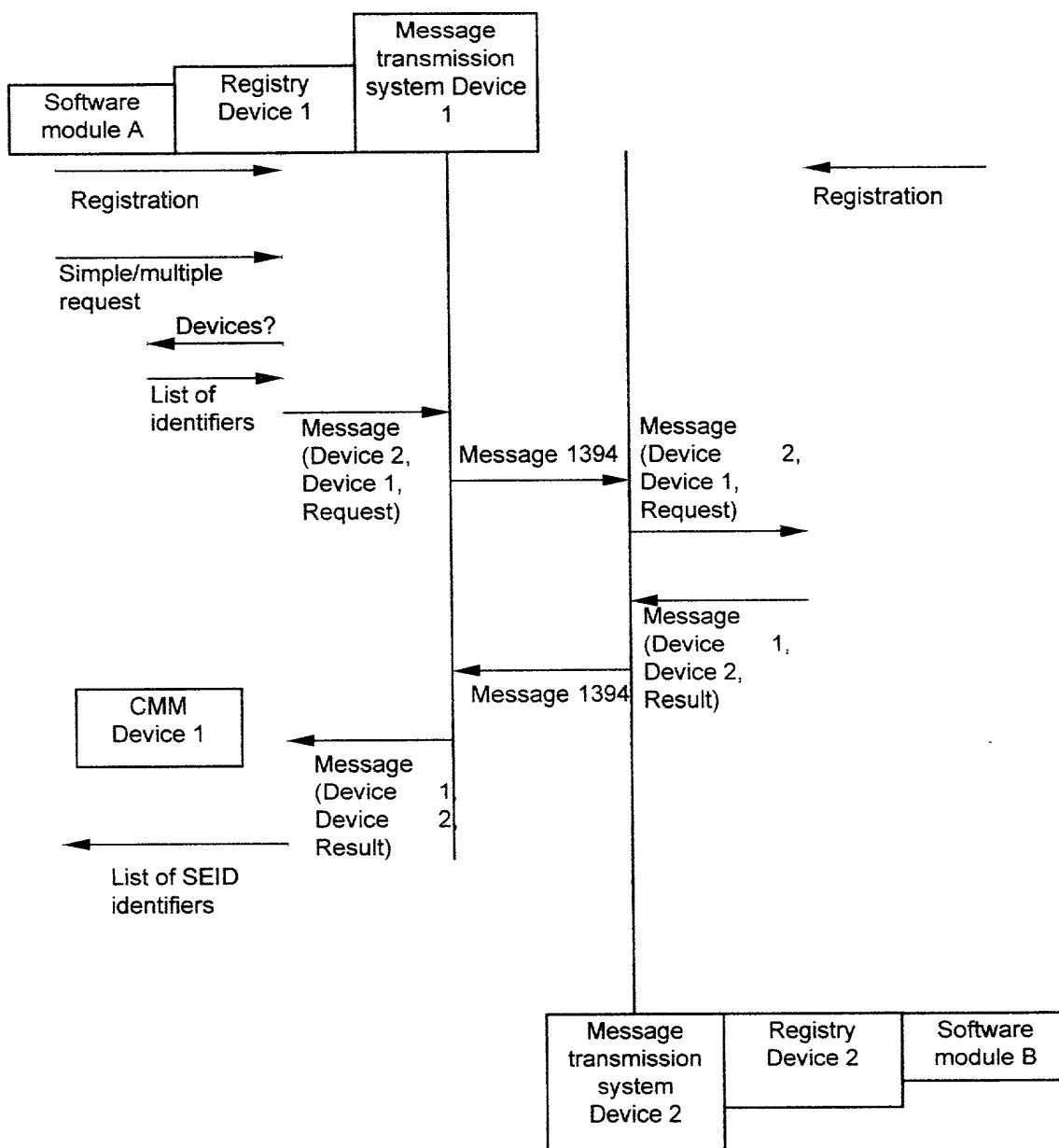


Fig. 4

DECLARATION FOR UNITED STATES PATENT APPLICATION,  
POWER OF ATTORNEY, DESIGNATION OF CORRESPONDENCE ADDRESS

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and that I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**"" Process for managing objects in a communication network and device for the implementation thereof "**

the specification of which

(CHECK ONE) ( ) is attached hereto.

(xx) was filed on April 21, 1999, Application Serial. No. PCT/FR99/00943 and was amended on

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 CFR 1.56(a).

I hereby claim foreign priority benefits under 35 USC 119 of any foreign application(s) for patent, utility model, design or inventor's certificate having a filing date before that of the application(s) on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
Number	Country	Date Filed	Yes	No
9805110	FR	April 23, 1998	xx	

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Serial No.: \_\_\_\_\_ Filed: \_\_\_\_\_

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under of 18 USC 1001 and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Joseph S. Tripoli (Reg. No. 26,040), Dennis H. Irlbeck (Reg. No. 26,372), Joseph J. Laks (Reg. No. 27,914), Eric Herrmann (Reg. No. 29,169) Telephone: (609) 734-9754

Address all correspondence to Joseph S. Tripoli, Patent Operations - THOMSON mutimedia Licensing, Inc. - CN 5312 - Princeton, New Jersey 08543-0028.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_, 2000.

Sole or First Joint Inventor: Guillaume Bichot

Citizenship: French

Residence and Post Office Address: Le Bourg  
F-35630 La Chapelle Chaussee  
France

Signature: \_\_\_\_\_ Date: \_\_\_\_\_, 2000.

Second Joint Inventor: Helmut Buerklin

Citizenship: German

Residence and Post Office Address: 15 rue Bigot de Préameneu  
F-35000 Rennes  
France

Signature:  Date: 21/12, 2000.

Third Joint Inventor: Fabienne Coez

Citizenship: French

Residence and Post Office Address:

9 Allée Jacques Brel  
F-59118 Wambrechies  
France

Signature: \_\_\_\_\_ Date: \_\_\_\_\_, 2000.

Fourth Joint Inventor: Patrick Pirat

Citizenship: French

Residence and Post Office Address:

Le Haut Chemin  
F-35340 Ercé près Liffré  
France

Signature: \_\_\_\_\_ Date: \_\_\_\_\_, 2000.

Fifth Joint Inventor: Gilles Straub

Citizenship: French

Residence and Post Office Address:

20 rue des Tertres  
F-35690 Acigné  
France

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Citizenship: French

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F-35630 La Chapelle Chaussee  
France

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Citizenship: German

Residence and Post Office Address:

15 rue Bigot de Préameneu  
F-35000 Rennes  
France

Signature: \_\_\_\_\_ Date: \_\_\_\_\_, 2000.

Third Joint Inventor: Fabienne Coez

Citizenship: French

Residence and Post Office Address:

9 Allée Jacques Brel  
F-59118 Wambrechies  
France

Signature:  Date: 20 novembre, 2000.

Fourth Joint Inventor: Patrick Pirat

Citizenship: French

Residence and Post Office Address:

Le Haut Chemin  
F-35340 Ercé près Liffre  
France

Signature: \_\_\_\_\_ Date: \_\_\_\_\_, 2000.

Fifth Joint Inventor: Gilles Straub

Citizenship: French

Residence and Post Office Address:

20 rue des Tertres  
F-35690 Acigné  
France

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Address all correspondence to Joseph S. Tripoli, Patent Operations - THOMSON multimedia Licensing, Inc. - CN 5312 - Princeton, New Jersey 08543-0028.

Signature:  Date: 13 November, 2000.

Sole or First Joint Inventor: Guillaume Bichot

Citizenship: French

Residence and Post Office Address:

Le Bourg  
F-35630 La Chapelle Chaussee  
France FRX

Signature:  Date: 14 November, 2000.

Second Joint Inventor: Helmut Buerklin

Citizenship: German

Residence and Post Office Address:

15 rue Bigot de Préameneu  
F-35000 Rennes  
France FRX



Signature: \_\_\_\_\_ Date: \_\_\_\_\_, 2000.

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9 Allée Jacques Brel  
F-59118 Wambrechies  
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Le Haut Chemin  
F-35340 Ercé près Liffre  
France FRX

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Fifth Joint Inventor: Gilles Straub

Citizenship: French

Residence and Post Office Address:

20 rue des Tertres  
F-35690 Acigné  
France FRX